

# **TOMALES BAY MOORING PROGRAM REQUIREMENTS (POLICIES AND CRITERIA) AUGUST 6, 2015**

## **I. Introduction:**

The *Tomales Bay Mooring Program* (MP) was developed as part of the Tomales Bay Vessel Management Plan by NOAA's Gulf of the Farallones National Marine Sanctuary (GFNMS) staff in partnership with California State Lands Commission (CSLC) staff. Since 1981, when the GFNMS was designated, Sanctuary regulations have prohibited the discharge of materials into GFNMS and disturbance to the seabed, which includes the placement of moorings. Although mooring installation is still a prohibited activity, the establishment of the Vessel Management Plan in April 2013 and subsequent Mooring Program now allows for a mechanism to permit moorings. The program includes specific criteria for where moorings may be located on the bay, introduces mandatory specifications for mooring tackle, and requirements for the inspection and maintenance of moorings. Pursuant to the National Marine Sanctuaries Act, 16 USC §1431 *et seq.*, and regulations thereunder (15 CFR Part 922) and California Code of Regulations (Title 2, Division 3, Chapter 1), all private mooring holders must obtain a CSLC lease.

GFNMS and CSLC are administering the Mooring Program together because regulations of both agencies apply in Tomales Bay. GFNMS is issuing a permit to CSLC that will make it possible for CSLC to lease areas of state sovereign lands in the bay for moorings that comply with federal and state laws. The GFNMS permit to CSLC requires, for example, that leases not be located in seagrass beds and that mooring anchor must be appropriate for the specific conditions at each mooring lease location. CSLC will include the necessary GFNMS conditions into the lease agreements along with its own and those of several other agencies, such as required distances from swimming beaches, State Parks, and aquaculture areas. Therefore, while mooring lease applicants will only be dealing with CSLC and CSLC applications, the conditions that will apply to mooring leases in Tomales Bay will reflect CSLC and GFNMS requirements that were developed collaboratively with input from numerous agencies and stakeholders.

The primary goals for establishing a program for siting and permitting moorings on the bay are to: protect habitat; decrease threats to and disturbance of wildlife; and ensure safe and enjoyable water-related recreation by allowing moorings and removing and preventing illegally and improperly placed moorings and mooring materials. The MP uses an adaptive management approach for decisions regarding various mooring technologies (anchor and all other equipment) in Tomales Bay to select those that are the least damaging to the environment and appropriate for Tomales Bay hydrodynamic conditions. As new information is acquired and analyzed, requirements and specifications may be amended by GFNMS and CSLC in collaboration with the Tomales Bay Interagency Committee (TBIC).

## **II. Tomales Bay Mooring Program Initial Rollout and Preexisting Moorings:**

The following section (*Section II*) only applies to the initial rollout of the MP for preexisting moorings meeting the criteria stated below. *Section II* will become no longer applicable following the close of the initial 6-month MP introductory grace period.

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- GFNMS and CSLC will formally initiate and begin phasing in the Tomales Bay Mooring Program on August 10, 2015. Beginning on this date, littoral (waterfront) property and existing mooring owners will be given an initial 90-day early application opportunity for a CSLC mooring lease.
- Upon initiation of the MP all existing moorings on the bay will be tagged in an effort to notify owners of the Tomales Bay Mooring Program requirements and the process for applying for a lease or removal of mooring tackle. Additional efforts to notify existing mooring owners and littoral property owners will include posting flyers locally, sending emails and letters to stakeholders, and placing announcements in local newspapers and on the radio.
- When the MP first becomes effective, owners of existing moorings on Tomales Bay may request continued use of their existing mooring equipment, when applying for a lease, however this would only be allowed if the following conditions are met:
  - ✓ Mooring location is consistent with the requirements detailed in *Section VI. Mooring Criteria* section below. All current moorings that receive a green tag during the tagging process can assume that they meet the mooring location criteria. All moorings that receive yellow tags have been deemed to be in locations inconsistent with the MP Mooring Criteria.
    - NOTE: Since March of 2009, GFNMS regulations have prohibited anchoring in designated seagrass beds in Tomales Bay. Existing moorings within seagrass beds as designated as part of the “Combined Mooring Exclusion Areas” in Figure 1 will not be authorized. In accordance with the Tomales Bay Vessel Management Plan, all moorings must be placed outside of designated seagrass beds without exception. All existing moorings within designated seagrass beds are not authorized for removal without an assessment of environmental impacts from the removal process. Depending on the outcome of the assessment, some mooring anchors may be required to be abandoned-in-place to avoid adverse impacts to seagrass habitat.
  - ✓ Proof of ownership in the same location prior to the adoption of the Tomales Bay Vessel Management Plan in August 2013 is provided. (Details regarding acceptable evidence are provided in this section.)
  - ✓ Documentation is provided demonstrating that the existing mooring system is safe and effective and passes an inspection by an approved Mooring Contractor in accordance with the MP, and that the proposed mooring tackle is made of materials that will not result in significant environmental impacts.

Existing moorings that qualify under the above conditions shall be required to obtain a CSLC lease and comply with all mooring criteria and maintenance and inspection requirements of the MP.

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- The total transition period for existing mooring owners to obtain or be in the process of applying for a CSLC mooring lease is six months, after which time there will be a notification for removal of preexisting moorings that do not hold a valid lease or if the owner is not in the CSLC lease application review process.
- Existing moorings that do not hold a valid lease, or if the owner is not in the CSLC lease application review process, will be tagged with a notice to remove the mooring. The cost of removal of an unauthorized mooring is the responsibility of the mooring owner. If the owner does not take action, then it will be removed and impounded at the owner's expense pursuant to the National Marine Sanctuaries Act.
- *Section XI* below describes the mooring lease application process and submittal information requirements for all moorings. If the mooring lease application is for an existing mooring owner for the existing mooring location and equipment, then the following additional information shall be required:
  - ✓ Recent color photographs of the mooring's visible tackle showing its relative location;
  - ✓ Acceptable evidence of continued ownership of the mooring at that location;
  - ✓ Detailed description and schematic diagram of all mooring tackle currently being used;
  - ✓ The appropriate mooring inspection form for existing moorings completed by a GFNMS-approved Mooring Contractor.
- When proof of mooring ownership on Tomales Bay is required the following may be considered to be acceptable evidence by CSLC:
  - ✓ Point-of-sale records;
  - ✓ Datable photographs prior to August 2013 with clear reference features;
  - ✓ Records of mooring installation or mooring tackle purchase;
  - ✓ Affidavits or testimonials from persons with knowledge of these buoys (this method is not acceptable as the only evidence submitted and should be a complement to other evidence submitted).

**III. Tomales Bay Mooring Program Applicability and Exemptions:**

- The MP allows for up to 165 moorings on Tomales Bay within CSLC and GFNMS jurisdiction. This includes 130 available leases for the use of State sovereign lands for privately owned vessel moorings and up to 35 moorings at Lawson's Landing. Use of State sovereign land for moorings at Lawson's Landing are subject to the terms and conditions of a 25-year commercial lease issued by CSLC in 1998 and that existing lease is not subject to the requirements of the MP for the remaining lease term. The 130 available leases are subject to the requirements of the MP.
- Moorings directly associated with aquaculture operations and located within state water

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bottom lease areas for aquaculture pursuant to a valid lease, permit, license or other authorization are regulated by California Department of Fish and Wildlife (CDFW) and Department of Public Health and not subject to the requirements of the MP.

**IV. CSLC Tomales Bay Mooring Program Lease Requirements:**

- CSLC administers the MP and may issue leases for the use of sovereign land for individual moorings within Tomales Bay under a permit from GFNMS. Without exceptions, all owners of private vessel moorings located within Tomales Bay are required to obtain a lease from the CSLC.
- GFNMS has issued a permit to CSLC to allow for the issuance of individual mooring leases consistent with the criteria in the MP; therefore, in accordance with GFNMS regulations 15 CFR 922.82, moorings without a valid CSLC individual or commercial mooring lease are prohibited. No current or past Tomales Bay mooring owner is granted an automatic right to moor and no moorings will be automatically grandfathered in as exempt from the requirements of the MP.
- The cost of removal of an unauthorized mooring is the responsibility of the mooring owner.
- The Lessee purchases and owns all mooring tackle and pays for all installation, inspection, maintenance, and removal costs.
- *CSLC General Lease- Recreational Use* has a term of 10 years.

**V. Special Conditions for Tomales Bay Mooring Leases:**

- Only one vessel is allowed on a mooring at one time. The vessel on the mooring must be registered to that mooring and to the Lessee or be registered to a guest of the Lessee. Guest boats are allowed, with permission from the Lessee, for no longer than 30 consecutive days and only if they are the same size and weight or smaller than the vessel for which that mooring was designed.
- No sale or sub-leasing of mooring leases shall be allowed. Any sale, rental or sub- leasing of the mooring will result in immediate termination of the mooring Lease.
- Transfer of mooring leases shall only be allowed for littoral property owner moorings, transferable with the adjacent property to a new owner or lessee. Transfer of moorings will require an assignment authorized by the CSLC. Transfers are not automatic with the sale of the littoral property.
- All moored vessels (including guest boats) must be registered, and must display a current registration sticker or other visible proof of registration consistent with the requirements of

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applicable state and/or federal law.

- All mooring lessees shall maintain, and upon the due date of their rent annually submit proof of, liability insurance that shall adequately protect both Lessee and Lessor against public liability and property damage. Guest boats should also meet these insurance requirements.
- Each Lessee is responsible for ensuring that mooring tackle is inspected and maintained. Failure to maintain a mooring shall be considered grounds for termination of the mooring Lease.
- The moored vessel is required to be secured firmly and the anchor shall be of a size and design sufficient to prevent the vessel or mooring anchor from drifting, dragging or otherwise moving off the assigned mooring site.
- All vessels that are authorized to moor within Tomales Bay must remain in operable condition while attached to the mooring. Any vessel that presents a threat to life, property, or the environment may be removed or impounded at the owner's expense.

## **VI. Tomales Bay Mooring Program Mooring Criteria:**

All CSLC mooring Leases subject to the MP shall only be issued in locations meeting all of the following eight criteria (as depicted as “the combined mooring exclusion areas” in Figure 1, Figure 1a. and Figure 1b.):

1. Seagrass: No vessel moorings shall be allowed in seagrass beds.
2. Wildlife Disturbance: No moorings shall be allowed in areas within 300 feet of seal haul-out areas.
3. Parcels Under Private Ownership Outside of CSLC Jurisdiction: No vessel moorings shall be allowed on tidelands and submerged lands under private ownership.
4. NPS-owned Tide and Submerged Lands Outside of GFNMS Jurisdiction: Other than as necessary for NPS administrative use, no moorings shall be allowed on the submerged lands owned by NPS outside of GFNMS jurisdiction.
5. Swimming Beach/Boat Launch Areas: No moorings shall be allowed within 100 feet of swimming beaches and boat launch ramps.
6. State Parks: No moorings shall be allowed within 1000 feet offshore of State Parks property.
7. Aquaculture: No moorings shall be allowed within areas that fail to meet the California Department of Public Health calculations for safe distances between moorings and shellfish growing operations. No moorings shall be located within state water bottom lease areas for aquaculture unless authorized by the State of California.
8. Navigation Channels: No moorings shall be allowed within navigation channels of Tomales Bay.

The Interactive PDF Map contains layers showing the MP Mooring Criteria.

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**VII. Requirements for Location of Moorings:**

- The CSLC will only issue leases on ungranted sovereign land under its jurisdiction. No mechanism through GFNMS and CSLC currently exists for authorizing moorings on private parcels.
- Moorings and associated ground tackle shall be located within the boundaries of the MP *Moorings Zones* depicted on *Figure 1*, with the following exceptions:
  1. *Aquaculture*: State of California authorized aquaculture moorings used for aquaculture operations within state water bottom lease areas;
  2. *Adjacent to Developed Littoral Properties*: Owners of developed littoral properties must apply for a lease for the use of submerged lands for the placement, use, and maintenance of one mooring directly adjacent to and offshore of that developed littoral parcel. The mooring and attached vessel must meet all of the requirements of the MP including criteria for siting, installation, inspection, and maintenance.
    - More than one mooring to a developed littoral property owner may be considered by CSLC, if the property owner can demonstrate need justifies a greater number.
  3. *Preexisting Vessel Moorings*: Leases may be issued for moorings on sovereign land, if the location meets all MP mooring criteria, applies for a lease during the MP initial rollout per the specifications described in Section II above (MP Initial Rollout). If the proposed mooring location requires access through a littoral property, then permission from the property owner for the access is required by CSLC before consideration of a lease application. This permission must remain in effect for the duration of lease term.
- CSLC does not guarantee that a Lease will be issued for the same location as the desired mooring location proposed by the applicant, even if that site meets all MP mooring criteria and is within an approved mooring zone. Space and capacity for moorings may be limited in some mooring zones. Spacing limitations will be initially determined by the mooring contractor and his/her expert opinion, but capacity in each zone is subject to agency review and approval based on proximity to aquaculture operations or other sensitive sites, or restricted land access to the mooring sites. If the proposed site is not accepted, then CSLC will provide the applicant with an alternate location within a designated mooring zone, as near in proximity to the proposed location as feasible.

**VIII. Mooring Tackle Requirements:**

In order to prevent vessels from separating from their moorings during extreme weather and sea conditions on Tomales Bay, and to minimize environment impacts and public safety hazards, all vessel moorings authorized under the MP shall be subject to the following requirements for the design and construction, and inspection and maintenance of the mooring system:

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- Mooring Lessees shall own and be responsible for maintaining all mooring tackle.
- All mooring equipment must be installed and inspected in accordance with the MP tackle and inspection requirements and by a GFNMS approved Mooring Contractor, at the lessee's sole cost and expense.
- Private vessel mooring tackle will need to meet design standards, as described in this section, developed for Tomales Bay by the TBIC. Mooring tackle that has been installed but not reviewed by an approved Mooring Contractor might not comply with MP Mooring Tackle standards and could violate the terms of the mooring lease.
- Owners of vessels over 55-feet must submit mooring plans from a credentialed marine engineer along with a completed CSLC lease application.
- The mooring tackle and anchor shall be appropriate for Tomales Bay benthic habitat and geologic and hydrodynamic conditions, and capable of withstanding extreme weather and sea conditions. Extreme conditions within Tomales Bay can include: maximum sustained winds of over 30 knots, with gusts over 75 knots; wave heights of up to six feet (3-6 second intervals); maximum current speed of 2-3 knots, and; a maximum tidal variation of 9.1 feet.

*Buoys:*

- Mooring buoys shall be standard white, hard shell, with foam interior and with blue horizontal stripe.
- The associated CSLC lease number must be prominently displayed on two opposite sides of the mooring buoy in block letters (minimum of 4") using black oil-based paint or permanent marine-quality stickers appropriate for use on mooring buoys.
- The cleat, post or deck hardware, which attaches to the pendant, shall be visibly free of rot, corrosion or disrepair and capable of withstanding loads, to the satisfaction of the approved Mooring Contractor.
- If a pendant is used, then chafing gear is required between the pendant and vessel.

*Mooring Anchors:*

- Mooring anchors must be appropriate for the specific conditions at each mooring lease location and must be approved by an approved Mooring Contractor. Engine blocks, Manta Ray and helical type anchors are not authorized. Examples of acceptable mooring anchors include pyramid (e.g., Dor Mor) or mushroom type anchors, properly designed and constructed one or two concrete filled 55-gallon drums, and clean railroad wheels.
- Anchor weight and design shall be proportional to the size of the vessel being moored and must be sufficient to hold the vessel in extreme weather conditions.

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- Helix anchors are not permitted at this time but may be reconsidered upon further demonstration of their effectiveness, including long-term testing in Tomales Bay.

*Rode:*

- Chain and all metal components such as shackles, swivels, and eyes, shall be appropriately sized and of a high manufacturing quality (e.g. hot-dipped galvanized), to the satisfaction of the Mooring Contractor.
- Stainless steel safety wire or other binding material shall be required on all shackles to prevent unscrewing.
- If two individual lengths of chain (top and bottom chain) are required, they shall be shackled together, with swivel, to form one continuous length.
- Where the mooring chain is a single piece the shackle and swivel shall be placed between the anchor and chain.
- A shackle and swivel shall be used between buoy and top of mooring chain.
- All chain ½” and smaller shall be new upon initial installation.
- Seaflex or other elastic rodes shall not be permitted at this time but may be reconsidered upon further demonstration of their effectiveness including long-term testing in Tomales Bay.

**IX. List of GFNMS Approved Mooring Contractors:**

- GFNMS staff have developed and will maintain a list of mooring services contractors approved for installing, inspecting and repairing/maintaining all moorings subject to the MP. Mooring installations will need to be inspected annually by an approved Mooring Contractor. Completed installation and annual inspection forms will be provided to CSLC.

**X. Inspection and Maintenance Requirements:**

- Inspections by an approved Mooring Contractor shall be required for all moorings leased under the MP, at the mooring lessee’s sole cost and expense. Inspection is required upon installation at the mooring site and annually thereafter, except as follows:
  - To help minimize cost and increase convenience for preexisting mooring owners who acquired CSLC mooring leases during the initial introductory period of the MP, the deadline to complete the first set of required annual inspections will be scheduled for a date that falls 12 months after the end of the grace period for preexisting moorings to apply for a mooring lease unless the mooring inspector, based upon the initial inspection, determines that the next inspection should be earlier.
  - For example, if the MP commences on August 1, 2015 and the grace period



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ends on January 31, 2016, then the first annual inspection would be January 31, 2017. This delay for completing Year 1 annual inspections allows mooring leaseholders and mooring contractors the ability to set up a system to coordinate inspections of multiple moorings during the same time period to minimize costs and increase convenience.

- It is the mooring Lessee's responsibility to schedule and ensure that the required inspections occur.
- The mooring inspector must complete a *Annual Mooring Inspection Form*, which includes the current GPS location of the mooring anchor and a statement certifying the condition of the mooring tackle and whether or not it passes inspection. This form must be submitted by the mooring Lessee or Mooring Contractor to CSLC on the lease anniversary date, along with the payment of annual rent, proof of liability insurance, and current vessel registration.
- If the mooring does not pass inspection then the lessee shall be given 45-days to take corrective actions, and submit a revised *Annual Mooring Inspection Form* signed by an approved Mooring Contractor. If corrective action is not taken within 45-days, the lease will be considered in default and CSLC may take action to terminate the lease. Once the lease is terminated, mooring tackle is subject to removal, at the owner's expense, pursuant to the authority of the National Marine Sanctuaries Act.
- Moorings/vessels that are determined during an inspection to be at risk of equipment failure shall be reported immediately by the Mooring Contractor to CSLC and GFNMS staff, and shall require immediate action including potential removal at the lessee's expense.
- Mooring pendants, if used, shall be inspected annually and kept in good condition at all times. The mooring lessee shall routinely check pendant for chafing and wear, and replace as necessary to prevent pendant failure.

## **XI. Mooring Lease Application Process and Submittal Information Requirements For New Moorings:**

The following section outlines the general lease application process for all new moorings. In addition to the following requirements, permit applications for preexisting moorings will be accepted during the initial 6-month TBMP introductory period only, and will also need to follow the application process and information requirements in Section II above.

- The following information shall be submitted by the applicant along with a completed *Application for Lease of State Lands* for review prior to consideration of a mooring lease by the CSLC at a public meeting:
  - ✓ The name and address of the vessel owner/mooring lease applicant;
  - ✓ Detailed description and schematic diagram of all mooring tackle planned for use,

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- including the planned size shape and color of the buoy;
- ✓ Recent color photograph(s) of and general description of the size and type of vessel(s) to be attached to the mooring;
- ✓ Documentation of vessel ownership in the lease applicants name, consisting of applicable valid Department of Motor Vehicle registration or U.S. Coast Guard Certificate of Documentation;
- ✓ Proof of valid liability insurance upon the due date of their rent for the vessel(s) proposed to be moored;
- ✓ Letter authorizing or permitting access through a privately owned parcel for the duration of projected lease term if applicable;
- ✓ Request for a proposed mooring location, such as the approximate latitude/longitude coordinates for the anchor placement location and the name of Mooring Zone requested (i.e. Zone 4);
- If the mooring lease application is for a littoral property owner then the following information, in addition to the above requirements, shall be required to be submitted:
  - ✓ The address and *Assessor's Parcel Number* of the developed littoral parcel.
  - ✓ Copy of the current upland vesting document (Deed).
  - ✓ Statement of need if more than one mooring is being requested.
- Lessees shall be responsible for promptly notifying CSLC staff of any changes to the original information provided on the application.

**XII. Mooring Lease Costs:**

- An application fee and filing fee will be required by CSLC upon receipt of a mooring lease application.
- Mooring lease rent shall be payable annually.
- Lease Application Fees do not include the costs of the mooring equipment or its installation, inspection, maintenance or removal.

**XIII. Mooring Installation and Positioning:**

- Lessee shall be required to have the mooring installed by an approved Mooring Contractor (as close in proximity to the authorized location as is feasible) within 90-days of CSLC issuance of the lease.
- The Mooring Contractor who is responsible for installation of a mooring is required to fill out a *Pre-installation Mooring Proposal Form*, which shall be submitted to CSLC by the contractor or applicant with the CSLC mooring lease application. Required submittal information on this form includes: GPS location of the proposed mooring anchor; a detailed description and schematic diagram of the proposed mooring tackle demonstrating that all

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mooring tackle meets MP requirements; current vessel registration and lessee's contact information.

## **XIV. Mooring Lease Termination:**

A Lease will include provisions that the lease may be terminated by CSLC upon a breach of the lease, which includes, but is not limited to the following occurrences upon described notification as outlined in the lease:

- Failure to pay mooring lease rent on time;
- Failure to provide evidence of the required liability insurance;
- Failure to submit an *Annual Mooring Inspection Form* (within 15 days of inspection due date);
- Failure to pay annual rent, and show annual proof of the required liability insurance and current vessel registration when due;
- Failure to comply with MP mooring tackle requirements or mooring inspection requirements;
- Failure to maintain mooring or perform required repairs and maintenance within 45 days of failing an annual mooring inspection;
- Failure to maintain the moored vessel in seaworthy and operable condition;
- Selling, renting, or subleasing a mooring lease; and
- Transferring ownership of a non-littoral property mooring lease, or transfer of a littoral property lease without prior approval by CSLC.

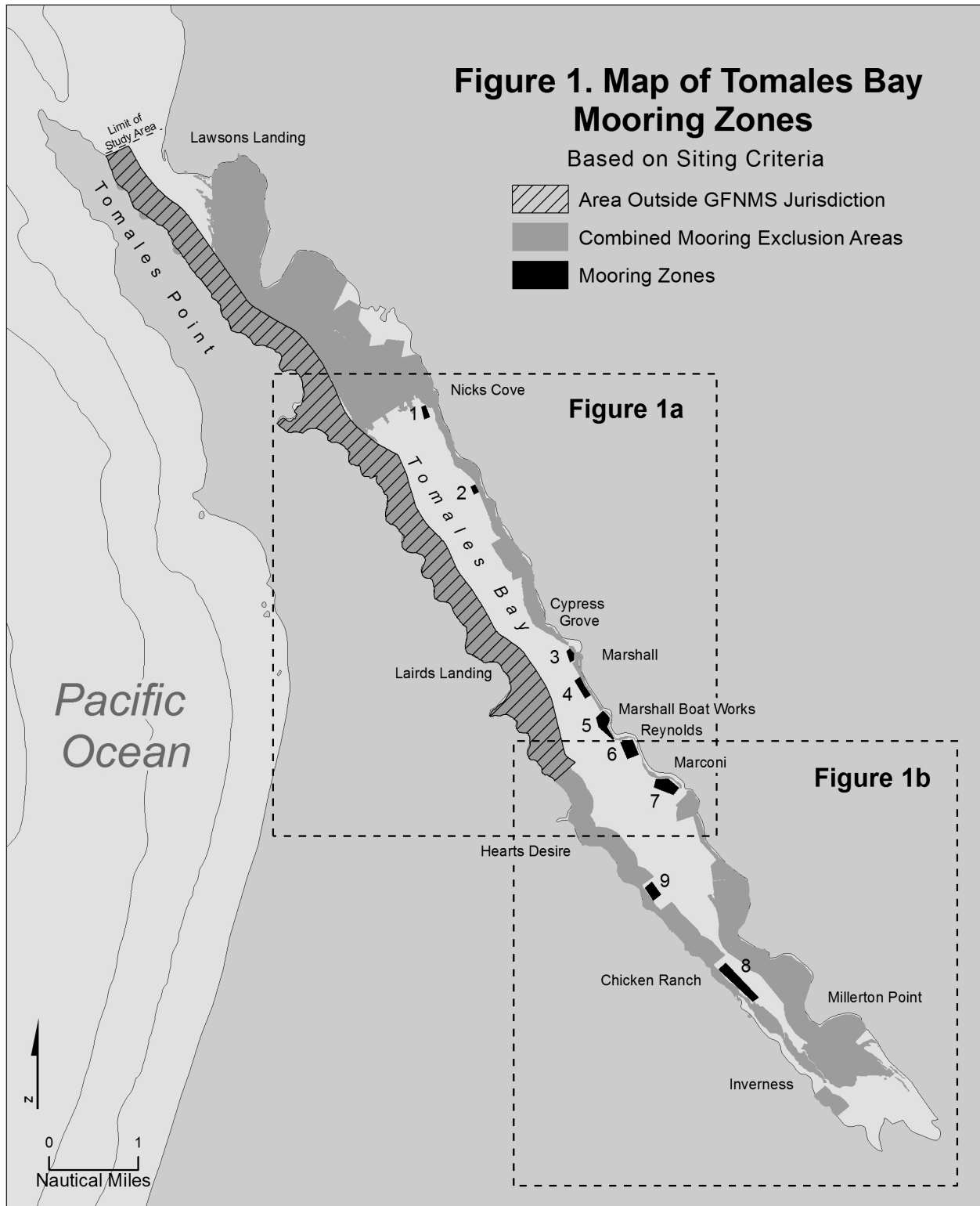
If the lessee does not remove a mooring system and associated vessel within 45 days of lease termination, then the mooring system and associated vessel shall be removed and impounded at the lessee's expense.

## **XV. Compliance Monitoring and Enforcement:**

- Once the Mooring Program has been initiated, preexisting moorings that meet all requirements of the Tomales Bay Mooring Program Mooring Criteria section in this document will be green-tagged and given a 6-month grace period to apply for a CSLC lease or remove the mooring. Following this initial grace period any preexisting moorings that do not hold a valid lease or are not in the process for applying for a lease will be tagged for removal.
- Current laws and regulations shall be enforced. No unauthorized moorings are allowed to exist within Tomales Bay. GFNMS staff, in coordination with other TBIC agencies shall conduct regular ongoing compliance monitoring and maintain a database of permitted moorings on the bay.
- Once the MP initial rollout process is completed unauthorized moorings may be removed and impounded at the owner's expense.

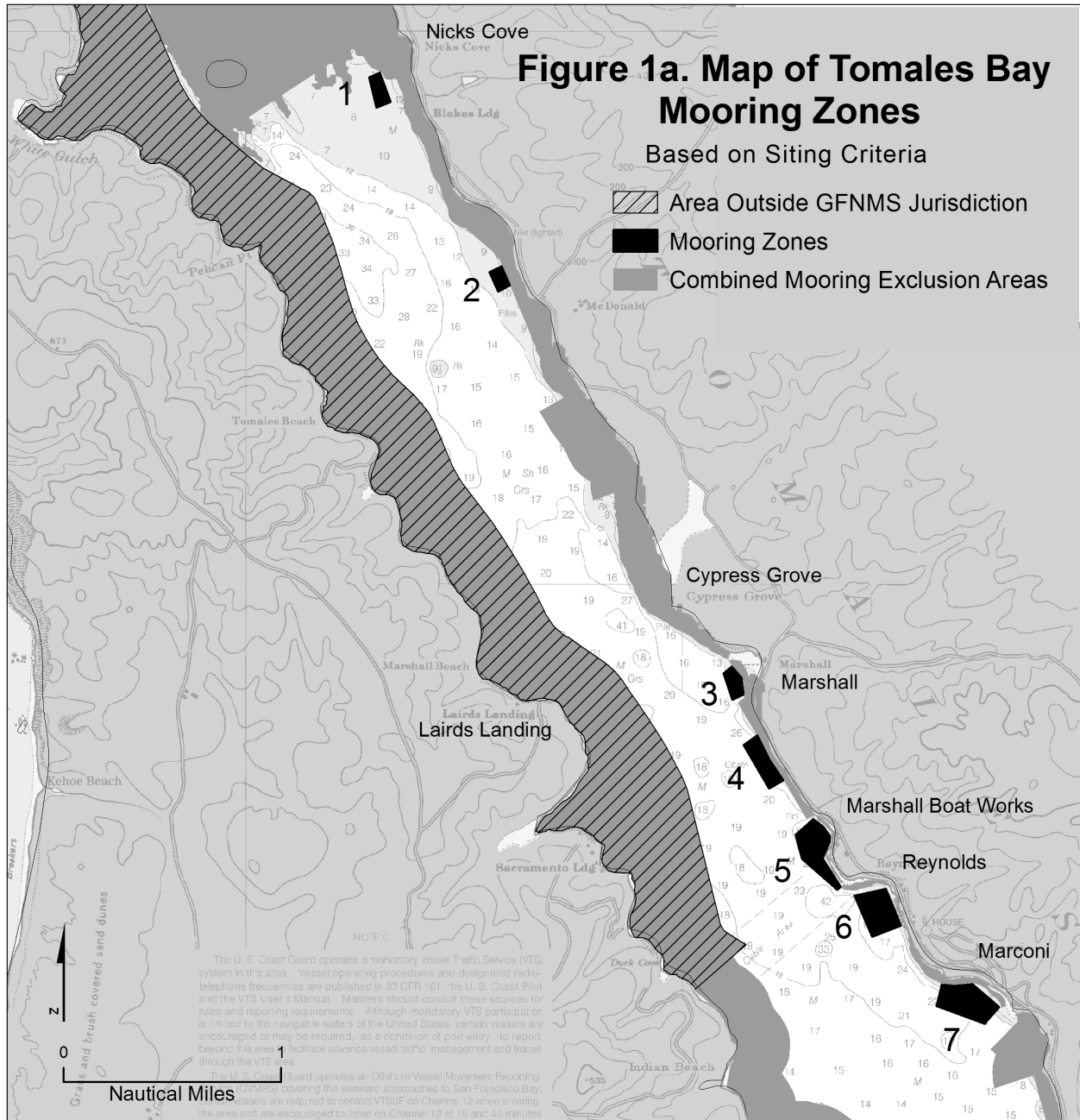
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**Figure 1: Map of Tomales Bay Mooring Zones**



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**Figure 1a: Map of Tomales Bay Mooring Zones**



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**Figure 1b: Map of Tomales Bay Mooring Zones**

